

Spectral analysis of an AVIRIS image of San Pedro Channel

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Abstract

Optical case-2 waters near an ocean outfall were examined, using a combination of AVIRIS imagery and ship-based surface and profile bio-optical measurements. Bio-optics mooring data were useful in determining the hydrodynamics of the area. After correcting the image to units of water-leaving radiance ($10^{-10} \text{ W m}^{-2} \text{ nm}^{-1} \text{ sr}^{-1}$), excellent agreement was achieved between remotely-sensed and in-situ measurements. Spectra from visibly different areas were extracted and compared to the in-water measurements and to each other. Near-shore spectra were dominated by the presence of suspended sediment from beach erosion. Spectra from the central part of the image had a characteristic signature from particulates from either the outfall or resuspension of bottom material or both. At the offshore edge of the image, elevated levels of chlorophyll had the greatest influence on spectral shape. Backscatter at 660 nm was calculated from the AVIRIS data and a backscatter image was produced which clearly showed the distribution of the two types of sediments.

There was a large swell at the time of the overflight and the side of the swell towards the sun was significantly brighter due to surface reflected sunlight. The light and dark pattern was examined in detail, and the difference between the light and dark portions of the image was quantified. A moderate resolution imager such as SeaWiFS would average the light and dark pixels, and retrieve a falsely high water leaving radiance signal.

1. Introduction

Approximately 374 million gallons of treated wastewater per day from Los Angeles County are introduced into the coastal ocean near White Point, on the Palos Verdes Peninsula. The effluent is discharged through two diffusers, and mixes with the surrounding waters at a rate determined in part by local physical processes. Normally the plant adequately treats the sewage, however, Los Angeles has combined storm drains and sewers and during stormy periods in the winter the flow exceeds the plants capacity and a combination of street runoff and partially treated sewage is released into the ocean. Beach closings in the vicinity of this and other Southern California sewage outfalls are common following storm events. An additional concern is that during these energetic periods, previously deposited sediments may be resuspended and mixed up into the water column releasing DDT and other toxic materials that were discharged into the ocean in the 1940s and 1950s.

Previous studies near the outfall have focused on transport and dispersion of the plume¹⁻⁴. In addition, Wu et al.² and Washburn et al.⁴ have described the resuspension of bottom sediments during periods of increased currents and have elucidated techniques for separating the particle signal into photosynthetic and non photosynthetic components, using bio-optical measurements. In this study we use aircraft remote sensing ocean color measurements validated with in situ measurements to delineate the extent of the plume and describe other features in the San Pedro Channel.

"1"0 date ocean color remote sensing has focused primarily on mesoscale and ocean basin scale features. For example the Sea-Viewing Wide Field-of-View Sensor (SeaWiFS)⁵ will be launched early next year and will provide global data at 4.5 km resolution and regional data at 1.13 km resolution in 8 selected bands. This will be an excellent data set for many oceanic problems, and it is expected to be used by a large community of oceanographers for research and an even broader group for some commercial applications. However, there are many circumstances where SeaWiFS will not provide useful data, such as in the near coastal areas which require higher spatial resolution and in case 2 waters⁶ where dissolved organics, suspended sediments and bottom reflection can interfere with the chlorophyll signal. In addition, SeaWiFS is highly tuned for ocean applications and it saturates over most land scenes, and over clouds. This means that if the scan is from the land to the ocean then the instrument is still 'ringing' from the saturation and the ocean data is not usable for up to 10 pixels, or 11 km from the shore. For many coastal ocean sites this is a huge loss. For example, ringing will mean that the SeaWiFS data for San Francisco Bay is essentially all contaminated, as it is on average only 10 km wide. Also, estuaries have high sediment and dissolved organics and the SeaWiFS band set is inadequate to resolve the chlorophyll signal from these, competing, signals. Other areas that will provide difficulties for SeaWiFS are the Mississippi River Plume and other river plumes which have high sediment loads.

To overcome these problems we have been using the Airborne Visible/Infrared Imaging Spectrometer (AVIRIS)⁷ to study coastal ecosystems. AVIRIS has 10 nm spectral and 20 m spatial resolution and preliminary algorithms have been developed for case 2 waters^{8,9}. There is no ringing evident in AVIRIS data as AVIRIS has sufficient dynamic range to handle cloud, land and ocean scenes. With 20 m spatial resolution it is possible to image even the smallest bays and estuaries. Here we use AVIRIS data to study coastal erosion and the plume from a large sewage outfall.

2. Study site and AVIRIS data collection

AVIRIS images of the White Point/San Pedro Channel area (figure 1) and supporting ship data were acquired on March 21, 1991. Several storms had passed through the area in the weeks preceding the experiment and 20 knot winds and a large swell persisted on the day of sampling. During AVIRIS data collection, the solar zenith angle was 36.5° and the azimuthal angle was 2.05.6°. The flight line was directly away from the sun to minimize sunglint and uneven illumination. A total of ten ocean scenes were collected, covering the San Pedro Channel between the Palos Verdes Peninsula and Catalina Island, in two swaths. Each AVIRIS scene is 10 by 12 kilometers with 20 m spatial resolution. The data are stored as a spectral data cube; 614 samples by 512 lines by 2.20 spectral bands. The spectral coverage of the instrument is from 0.4 to 2.4 μm , and each channel has an approximately Gaussian response with a nominal 10 nm width at half maximum signal.

AVIRIS was originally designed to study land targets and the signal-to-noise performance was marginal for dark targets such as the ocean. In response to the need for improved signal to noise in the visible the AVIRIS team has made many improvements in AVIRIS performance. This data, collected in early 1991, was free of patterned noise and we obtained good average spectra binning 5x5 pixels, which resulted in 100 m spatial resolution.

A few clouds can be seen in the images, but overall visibility was quite good. For this analysis, the two images closest to White Point were concatenated, for a total of 614 lines of image data. The combined image was spectrally subsampled, and only the spectral range 0.4 to 1.2 μm was analyzed.

3. In-situ measurements

At the time of the overflight optical profile data were acquired using a Bio-Optical Profiling System (BOPS)¹¹. The BOPS is based on a Biospherical Instruments (San Diego, CA) MBR 1048 which measures spectral downwelling irradiance and upwelling irradiance and radiance (including 683 nm fluorescence), photosynthetically available radiation (PAR), depth, tilt and roll. Temperature and salinity

were measured with a SeaBird CTD, chlorophyll with a Sea Tech fluorometer and beam transmission (660 nm) with a Sea Tech transmissometer. Simultaneously surface, downwelling irradiance was collected with a four channel deck cell. The BOPS data were binned to 1 m depth intervals and processed to remove, ship reflection or shadow and other possible artifacts using interactive software which we developed for this purpose using the Interactive Data Language (IDL, Research Systems, inc.).

Measurements of remote-sensing reflectance of the sea surface, (R_{rs}), from 350 to 1250 nm were made with a Spectron Engineering Model 590 handheld spectroradiometer following the procedures of Hamilton, et al. ¹² and references cited therein. Sea surface reflectance was measured relative to a gray 10% reflectance standard and corrections were made for reflected skylight. Because of the rough sea state and abundance of foam at station 1, only the R_{rs} spectrum from station 2 was used in this analysis.

Water samples were collected at four depths for determination of chlorophyll and phaeopigments. Two hundred and fifty milliliter samples were filtered onto Whatman GF/F filters and processed for chlorophyll and phaeopigments following the method of Strickland and Parsons ¹³.

For the two months preceding the overflight, a physical and bio-optical mooring was placed near the location of the outfall (Figure 1) by the University of Southern California, Ocean Physics Group, with four instrument packages at depths from 10 m to 50 m in the water column. Each instrument package includes sensors for measuring temperature, conductivity, dissolved oxygen, orthogonal components of current, chlorophyll (Sea Tech fluorometer) and beam transmission at 660 nm (Sea Tech transmissometer). All data were recorded once per minute. The mooring was recovered two days before the OVCI flight and sampling cruises, and therefore was not contemporaneous with the overflight. However, selected data are included because recent current history can have an important effect on the optical properties of the water column in this region.

Additionally, shipboard weather data and archived meteorological data were obtained from several sources for parameterization of the atmospheric correction to the images.

4. Image calibration and atmospheric correction

in each spectral channel AVIRIS measures the following sum of radiances:

$$I_{tot} = I_{wtd}(\theta_1) + I_{path} + I_{sky} * (\rho_{td}(\theta_1) + \rho_{td}(\theta_2)), \quad (1)$$

where:

I_{tot} = Total radiance, received at the aircraft (the, AVIRIS data)

I_{wtd} = water-leaving radiance

$\rho_{td}(\theta_1)$ = diffuse transmission of the atmosphere at the instrument view angle

$\rho_{td}(\theta_2)$ = diffuse transmission at the solar zenith angle

I_{path} = path-scattered Rayleigh and aerosol radiance due, to the atmosphere

I_{sky} = skylight as viewed looking up from the surface, at the instrument view angle

ρ = Fresnel reflectance of the water surface

Each of the terms on the right side of the equation, with the exception of water-leaving radiance, was modeled with the atmospheric radiative transfer code LOWTRAN-7¹⁴. A modified version of the model was used which allows a variable input for atmospheric water vapor. This value is determined from the uncorrected scene itself, by measuring the depth of the water absorption feature at 1130 nm. The intensity

of this absorption is proportional to the column abundance of water, and a scaling term was derived for the image. This is the continuum interpolated band ratio (CIBR) method of Bruegge¹⁵ and Carrere¹⁶, however no ground-truth sun-photometer measurements were available at the time of the overflight. The modeling of column water abundance was therefore done entirely with LOWTRAN-7, by applying a scaling factor to the amount of precipitable water predicted for the White Point scene (2.356 cm).

The exact column ozone abundance, was calculated for the date of the overflight using current ozone profiles provided by the UARS (Upper Atmosphere Research Satellite) project. As the gas profiles used by LOWTRAN-7 are based on 1976 measurements, [O₃] was rescaled for the atmospheric correction.

The model was run in radiance mode with the proper geometry and environmental characteristics to get the path-scattered term. It was run again with the observer placed on the surface looking up into space to get a value for skylight, which is then reflected off the ocean using the Fresnel reflectance (p) and diffusely transmitted through the atmosphere to altitude.

Rearranging:

$$I_w(\text{image}) = [I_{tot} - I_{path} - I_{sky} * (\rho_{td}(\theta_1) + \rho_{td}(\theta_2))] / t_d(\theta_1). \quad (?)$$

The water-leaving radiance thus derived from the image was then compared to in-situ measurements, collected by both in-water (MER 1048) and surface (Spectron handheld spectroradiometer) instruments at station 2. The remote-sensing reflectance measurements taken with the Spectron were transformed to units of water-leaving radiance, using a surface irradiance spectrum (E_d^+) calculated for the scene conditions using LOWTRAN-7:

$$I_w(\text{Spectron}) = R_{rs} * E_d^+. \quad (3)$$

The uppermost 5m of the underwater light field measurements were propagated to just below the surface using a polynomial interpolation, and then transformed to just above the surface using a factor of 0.544¹²:

$$I_w(\text{MER}) \approx I_u^* * 0.544. \quad (4)$$

The independent estimates of I_w are shown in figure 2. Agreement between the various measurements is remarkably good, except in the short wavelengths. This is assumed to be an instrument calibration problem which is the result of a change in instrument performance between conditions in the laboratory where it is calibrated and conditions in the aircraft (Rob Green, personal communication). Carder et al.⁹ developed a vicarious recalibration technique which we employ here. We used the Spectron data for the recalibration and for this purpose, the higher spectral resolution measurements of the Spectron instrument were resampled to AVIRIS spectral channel positions and halfwidths. The ratio of the Spectron water-leaving radiance to the AVIRIS water-leaving radiance were then used to adjust the image calibration coefficients, and these new coefficients were applied to the entire image. This was performed only in the spectral region where the image calibration is unsatisfactory for a water target, i.e. for wavelengths less than 500 nm (the first 10 AVIRIS channels). This combination of modeling and vicarious recalibration (where necessary) was used to produce an atmospherically corrected and accurate image for analysis.

5. Analyses and Discussion

Distinct water types were identified in the image and representative spectra (figure, 3) were extracted for analysis. Wind and wave eroded beach sediments can be seen extending from land (figure 1), and arc

characterized by high reflectance in the range 500-700 nm (figure 3). This is assumed to be sand, which are relatively large particles which rapidly settle out of the surface water. These highly reflective plumes extend only a short distance from shore and then end abruptly, supporting the idea that they are composed of large particles with a high settling rate.

A larger more diffuse plume extends from the outfall area to nearly the edge of the image. The wavelength of maximum reflected radiance in this area is identical to that of the beach sand (figure 3), however the magnitude is reduced by nearly a factor of 4. This plume is either from the outfall or the result of high current shear acting on the sediments along the slope-bank. The final two days of mooring measurements of current speed and beam attenuation help to shed some light on this question (figure 4). These data were collected with the uppermost (10 m) instrument package and support the idea that it is sediment resuspension. A significant increase in beam attenuation was recorded toward the end of the mooring deployment (2 days prior to the overflight) and it was coincident with an increase in current speed associated with the storm (figure 4). We are uncertain as to the composition of these particulates, however, these particles are probably smaller in size since they stayed suspended over a large area, and are probably composed of outfall effluent mixed with terrigenous silt.

A water-leaving radiance spectrum obtained from the AVIRIS image in an area with submerged kelp beds clearly shows the chlorophyll "ml-edge" at 700 nm (figure 3). Kelp require cool, clear nutrient-rich water and human activities which alter those conditions will reduce the extent of kelp beds. Remote-sensing kelp surveys have been proposed by Jensen and Estes¹⁷ and in the region of an ocean outfall the extent of kelp beds could be an important indicator of the ecological health of the area. The spectra of kelp reported by Jensen and Estes¹⁷, and kelp spectra that we collected from Monterey Bay, do not have the high reflectance feature centered near 550 nm which is seen in the kelp spectra in figure 3. Given the high level of suspended sediments in the surrounding water with a peak reflectance at 570 nm it is likely that suspended sediments in the kelp bed are contributing this signal. This was probably a temporary condition as the result of the storm, however, if it were to persist it would likely damage the kelp.

The profile of percent transmission at station 2 (figure 5) shows reduced transparency in the surface waters due to an abundance of particles in the top 25 m. The corresponding profile of chlorophyll fluorescence is low at the surface and peaks between 10 and 25 m, with a sharp decline to very low levels by 35 m. The temperature and salinity probes were not working when these measurements were collected, but the position of the chlorophyll fluorescence maximum indicates that a well-defined thermocline existed near 30 m, despite recent wind-mixing. Extracted chlorophyll values were high throughout the top 20 m and actually highest at the surface (table 1). This change in fluorescence per unit chlorophyll is commonly observed (e.g. ¹⁸) and is caused by light inhibition of phytoplankton fluorescence in the surface waters. Taking fluorescence inhibition into account the chlorophyll and percent transmission profiles are very similar indicating an abundance of particles, including phytoplankton mixed throughout the upper 25 m.

Spectra from the three stations occupied for optical profiling are shown in figure 6. Station 3, furthest from shore, had nearly double the concentration of chlorophyll and associated pigments of inshore station 2 (table 1). Its spectrum is clearly dominated by high absorption by phytoplankton pigments, without suspended sediments to elevate the signal near 500 nm. The position of peak water-leaving radiance is 550 nm, in contrast to the inshore stations, which peak at 500 nm.

Spectra from stations 1 and 2 appear quite similar, except in the short wavelengths (<480 nm). The station 1 spectrum has a strong absorption feature at 480 nm, indicating the presence of photosynthetic pigment. Station 2, however, shows much stronger absorption in the shortest wavelengths measured, and lacks the clear absorption feature at 450 nm. This suggests that the station 2 spectrum is highly influenced by colored dissolved organic matter (CDOM), possibly from the sewage effluent.

All 3 station spectra show a slightly elevated signal near 683 nm indicative of solar induced chlorophyll fluorescence; in the case of the inshore spectra it is superimposed on the elevated sediment signal. The fluorescence signal is clearest in the spectra from station 3 which correlates with higher chlorophyll (table 1) at that station and the 683 fluorescence signal observed in the BOPS data (not shown). Solar-induced fluorescence of chlorophyll has been mapped at low altitude with a prototype aircraft imaging spectrometer¹⁹. In waters with high chlorophyll ($> 2 \text{ mg/m}^3$) the 683 nm fluorescence signal may be the best tool for estimating phytoplankton abundance as it is relatively free of interference from the signals from suspended sediments or dissolved organics which are often high in coastal productive waters.

There was a large swell at the time of the overflight and in the northeast corner of the image it was rotated so that it was oriented towards and away from the sun. The side of the swell towards the sun was significantly brighter due to surface reflected sunlight. An area of the image where this pattern was particularly obvious was selected to determine how this pattern affects the signal of an ocean color instrument with a spatial resolution that would be unable to resolve this difference. Light and dark pixels within this area were separated, and their spectra are shown in figure 7, along with the difference between the two. Approximately 20% of the pixels in the area chosen for this analysis (1 square km) were affected by this directly reflected beam. The radiance offset has slight spectral structure, and is highest at 550 nm generally reflecting the shape of the solar spectrum. Using the mean of the light and dark samples as representative of a spatially integrated signal the potential error from including the bright pixels was calculated. The error has a spectral shape and is largest where the actual signal is smallest (figure 7). This could produce a significant error in data products from moderate resolution instruments which average over 1 square km and use band-ratio algorithms.

Previously published algorithms were used to estimate the inherent optical properties of the area. Assuming that absorption at 660 nm is primarily from water and adding a slight correction for the phytoplankton we calculated backscatter at 660 nm from the remote sensing reflectance at that wavelength. Figure 8 shows b_b and R_{rs} along a transect from the clearer water offshore into the nearshore high sediment plume. Consistent with the work of Carder et al.⁸, we find a greater separation of b_b and R_{rs} as reflectance of the scene increases. However for our data there is a 12.X difference in the magnitude of these estimates while Carder et al.⁸ found a ratio of 3.35. Clearly, these relationships are regional, and most likely dependent on the composition of the suspended particles. An image of backscatter at 660 nm was created (figure 9). It clearly shows the high sediment plumes coming off the beaches and the moderate sediment levels in the middle of the image associated with either the outfall or shelf break sediment resuspension.

6. Summary and Conclusions

An imager with a few discrete channels will not provide adequate information to separate the chlorophyll signal from the signal from dissolved organics, detritus, suspended sediments, bottom reflectance, kelp and other features that are often found in the coastal zone. As one approach to overcome these problems we have been working with AVIRIS to test the utility of imaging spectrometer data for studies in coastal waters. To date we have demonstrated the ability to separate the chlorophyll signal from bottom reflectance in clear waters of Lake Tahoe¹ and the turbid waters offshore from Tampa Bay⁹. In addition signals from re-suspended sediments and dissolved organics have been interpreted for Tampa Bay AVIRIS images^{8,9}. Here we examined differences in spectra from different areas of an AVIRIS image of San Pedro Channel. Spectral signals from large particles of beach sand and either resuspended bottom material or effluent from the outfall show sufficient difference to allow separation. Near-shore, kelp beds are identifiable from their spectral signature, even with a sediment signal superimposed. The spectra from the sampling stations were examined, and show differences between one another that are related to the suspended sediment nearshore, and high chlorophyll offshore. Both near- and offshore spectra show the effect of CDOM.

A part of the image which showed the effect of specular reflectance was examined in detail. The offset between tinted and untinted pixels had a spectra similar to sunlight, with a magnitude of as much as $0.4 \text{ mW cm}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ at 550 nm. Approximately 20% of the pixels in the test area were contaminated with this direct reflectance, and this effect could significantly bias estimates of chlorophyll concentration made with moderate resolution imagers which integrate over much larger spatial scales.

An algorithm for estimating backscatter from an AVIRIS image that was developed for Tampa Bay was applied to this image. The ratio of b_b to R_{rs} was very different for San Pedro Channel compared to Tampa Bay and this highlights the need for regional algorithms for case 2 waters.

7. Acknowledgments

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8. References

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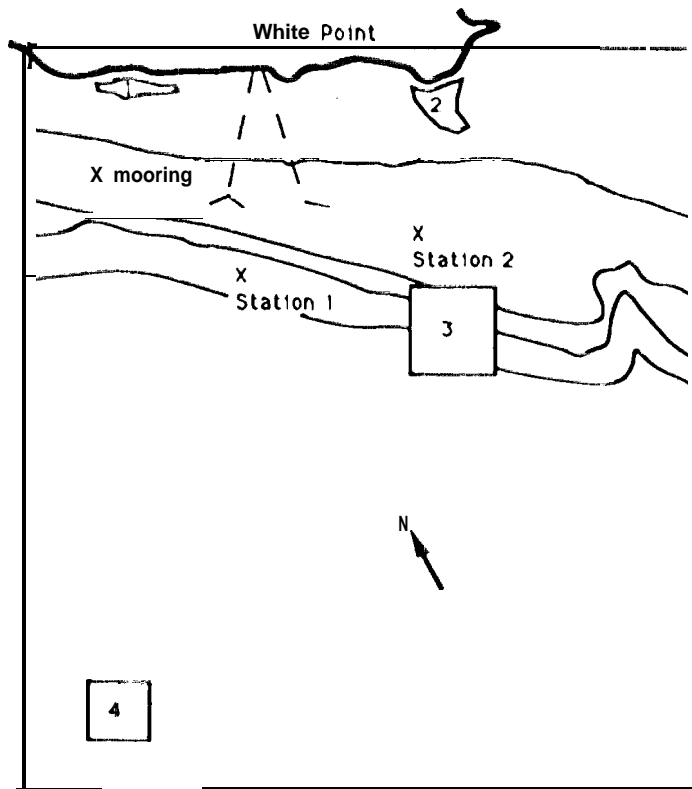
Table 1. Extracted chlorophyll and phaeopigment values (mg/l) from water collected at stations 2 and 3.

Station 2.. time 1315 PST. 33°40.93' N, 118°18.29' W

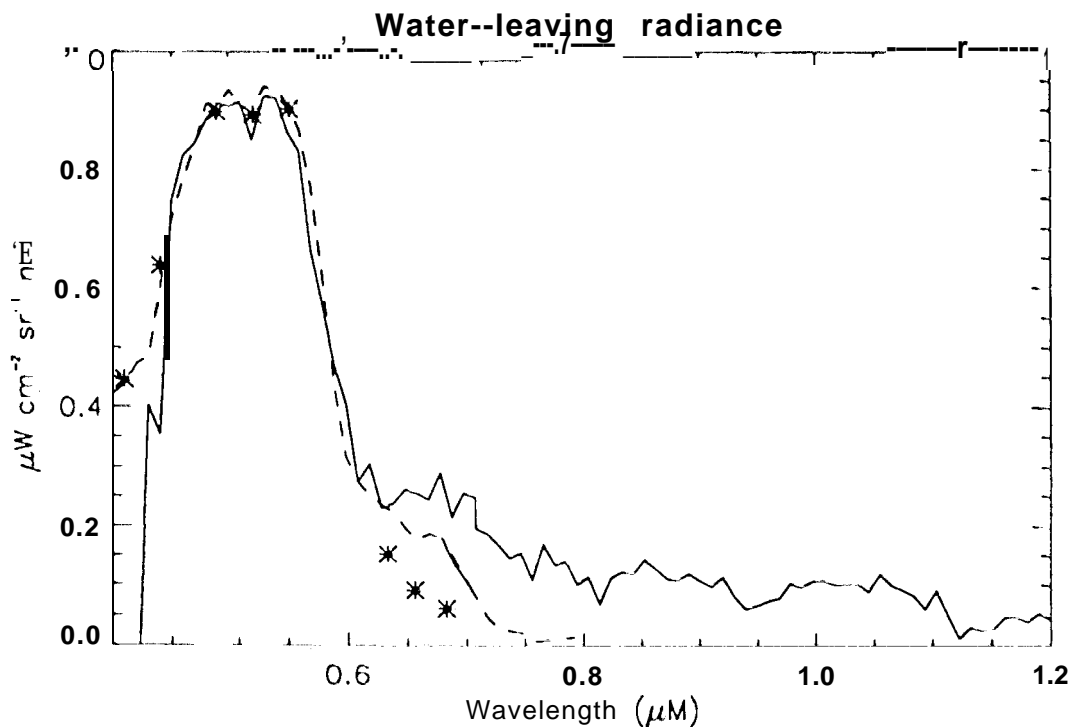
sample	depth (m)	chlorophyll	phaeopigments	chl+ phaeo
1	2	1.28	0.65	1.93
2	10	0.90	0.53	1.43
3	20	0.95	0.51	1.46
4	40	0.10	0.35	0.45

Station 3. time 1415 PST. 33°36.12' N, 118°21.32' W

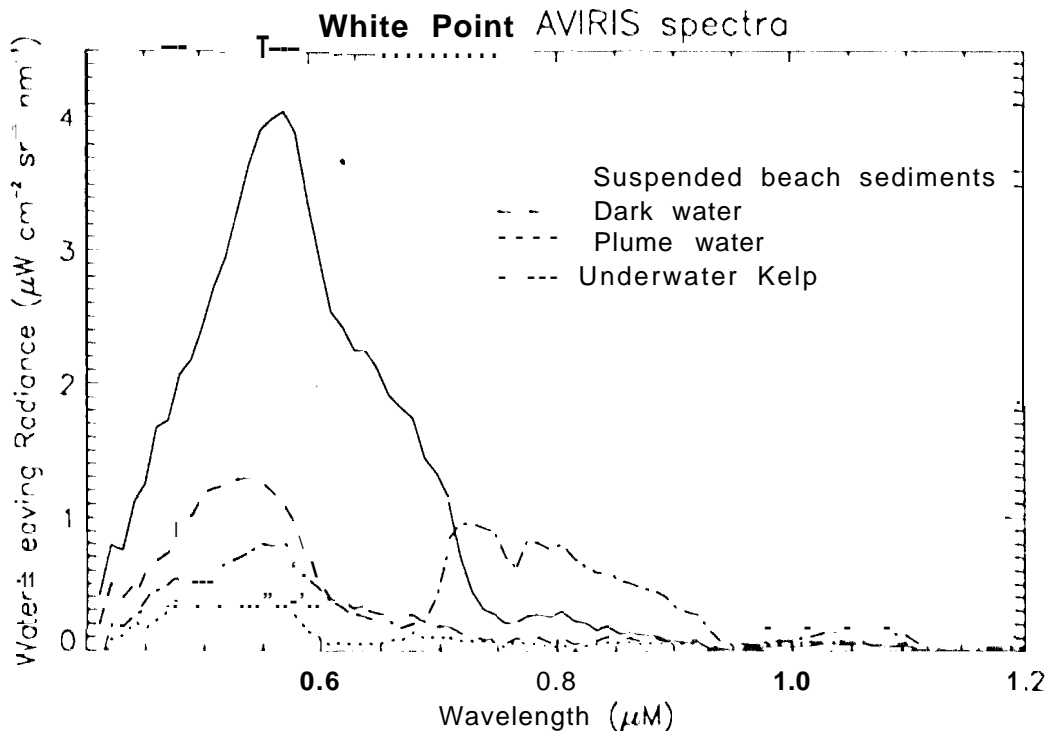
sample	depth (m)	chlorophyll	phaeopigments	chl+ phaeo
5	2	2.15	0.80	2.95
6	10	1.63	0.82	2.45
7	20	2.37	0.90	3.27
8	40	0.60	0.56	1.16



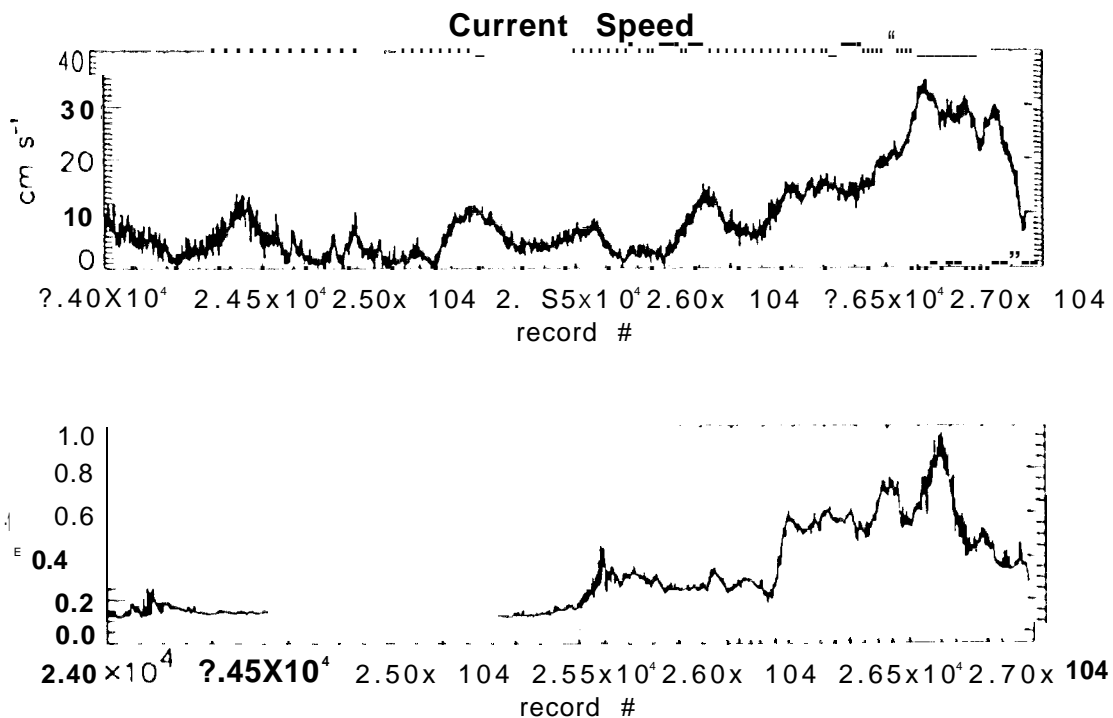
1. Location map of the White Point/San Pedro Channel study area. The box indicates the extent of the AVIRIS image. Spectra were analyzed from sampling stations and from four representative areas; 1) kelp beds, 2) beach erosion sediment plume, 3) diffuse plume near the outfall, and 4) 'dark water' - a region outside the plume which had the lowest reflectance in the image.



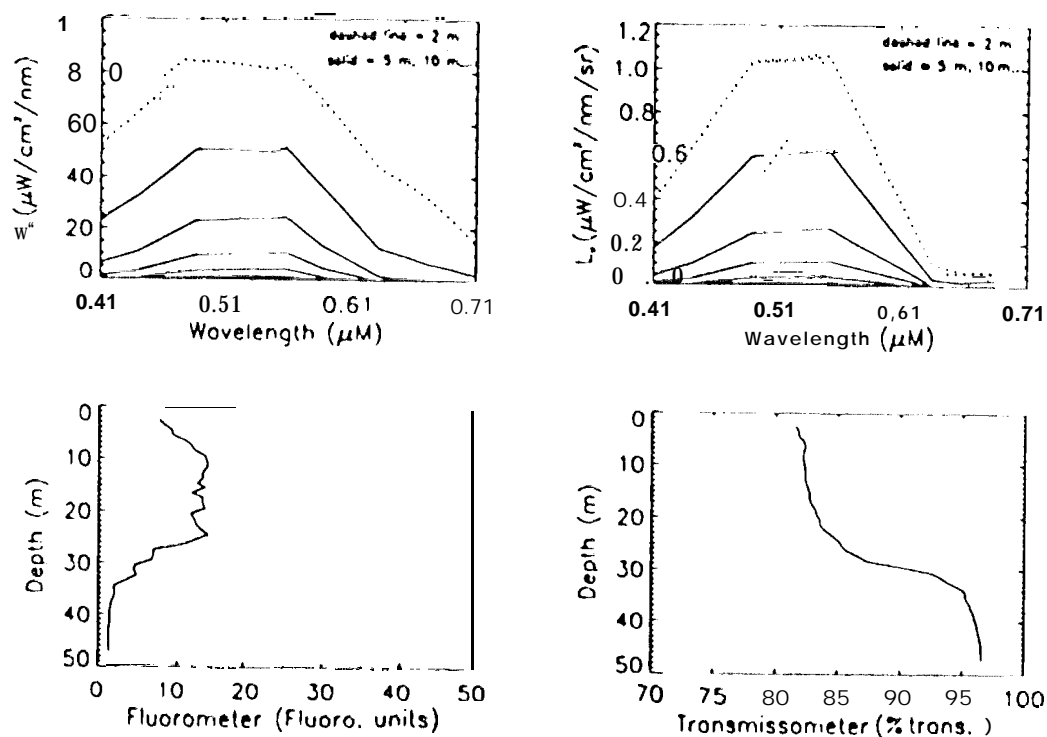
- 2, Water-leaving radiance as estimated by in-water measurements (asterisks), R_{rs} (dashed line), and the AVIRIS image (solid line).



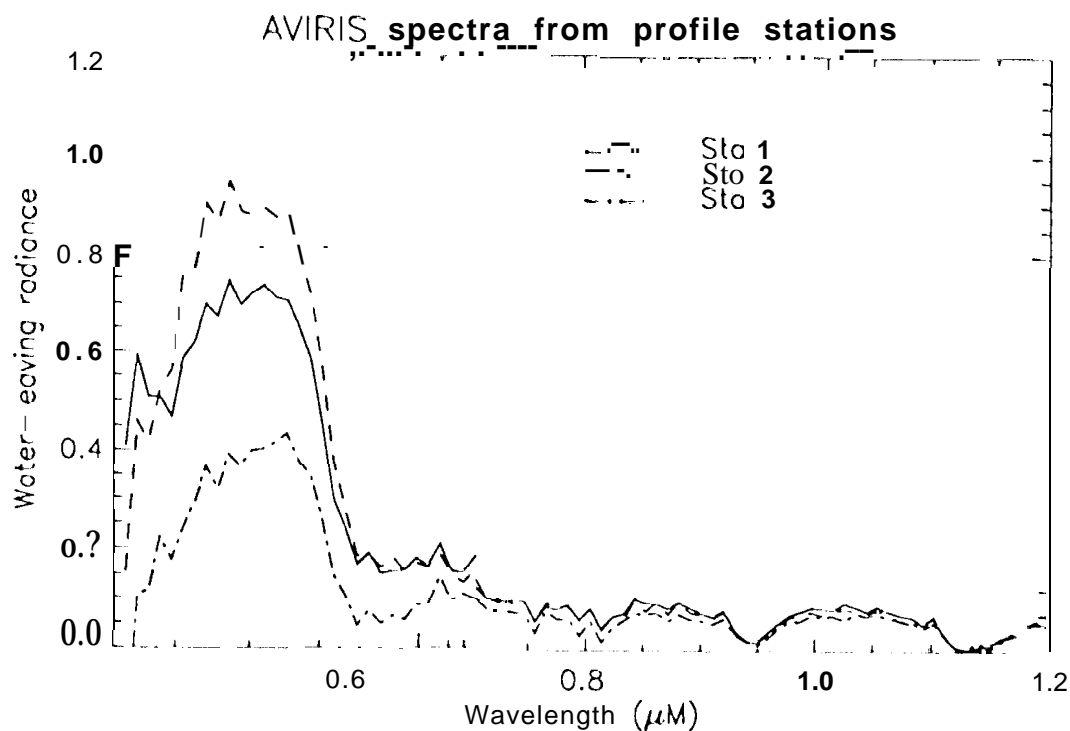
3. Mean spectra extracted from four distinct areas in the AVIRIS image as indicated in figure 1. The signal-to-noise ratio was enhanced at least S fold by averaging.



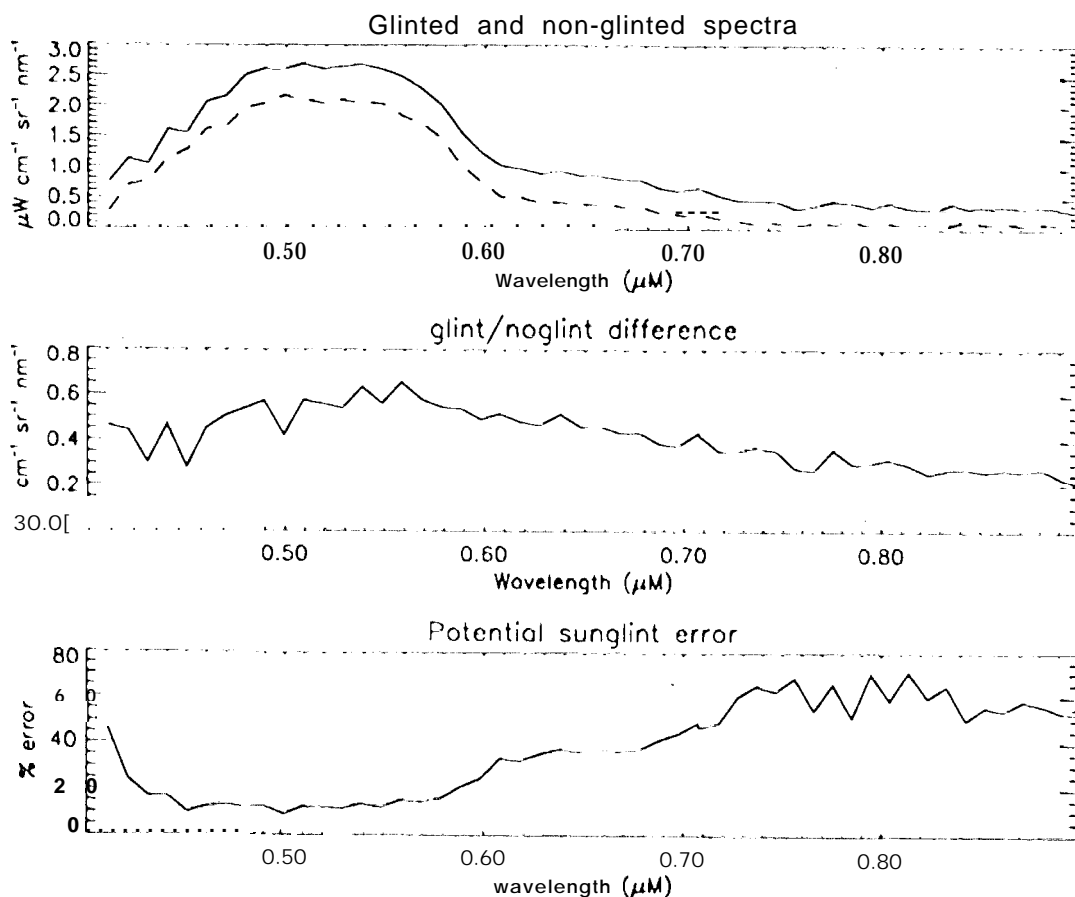
4. Current speed and beam attenuation coefficient (with the attenuation of water removed) measured during the last two days of the mooring deployment. The abscissa is record number, and records were collected once per minute. The predominant current direction was along the coast towards the northeast.



5. Spectra of the underwater light field at various depths at station 2, and profiles of chlorophyll fluorescence and percent transmission of collimated (660 nm) light,

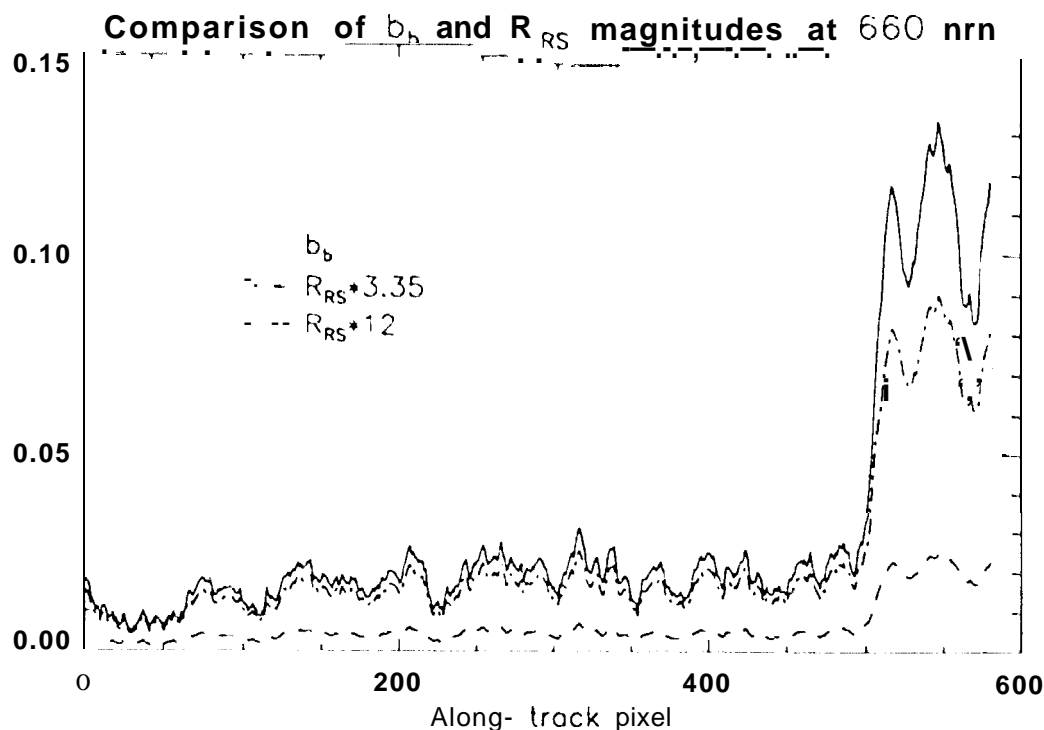


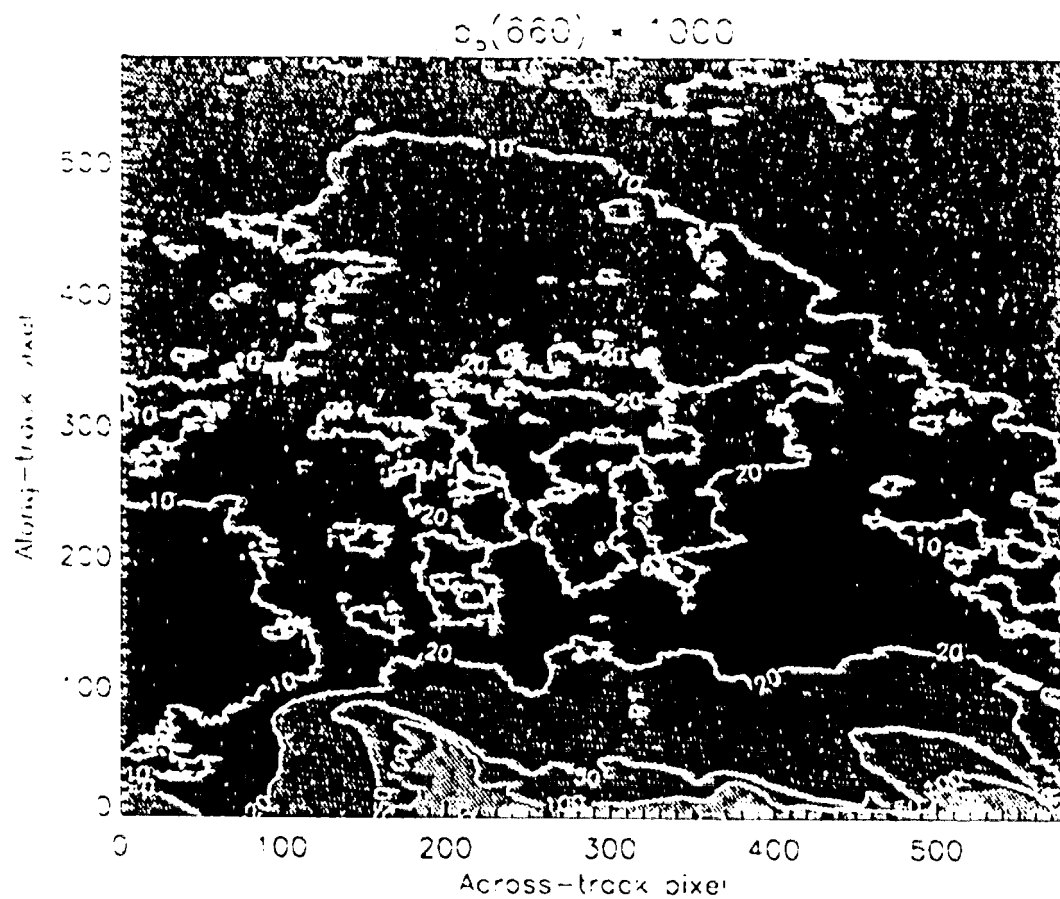
6. Spectra extracted from the AVIRIS image, at the profiling stations occupied on 21 March, 1991. Each spectrum is a mean from a 50X 50 pixel area.



7. Spectra extracted from the AVIRIS image, in a region of specular reflectance showing the magnitude of the enhanced signal and the potential error in retrieved radiances.

8. Comparison of $R_{rs}(660 \text{ nm})$ and $b_b(660 \text{ nm})$, each derived from the AVIRIS image. Separation between the two increases with increasing reflectance of the scene, and the offset between the two is nominally a factor of 12.





9. *The* contoured image of **backscattering** coefficient at 660 nm. Values have been multiplied by 1000 for clarity.